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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. W-3875 Total Pages 130

First Named Inventor or Application Identifier

DONALD D. HOLBROOK

Express Mail Label No.

EM440646782US

APPLICATION ELEMENTS
 See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

1. ☒ Fee Transmittal Form
 (Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 19]
 (preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Sheets 1]
4. Oath or Declaration [Total Pages 5]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
 (for continuation/divisional with Box 17 completed)
 [Note Box 5 below]
 - i. ☐ **DELETION OF INVENTOR(S)**
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)
 The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
 (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
 (Should be specifically itemized)
14. ☒ Small Entity ☐ Statement filed in prior application,
 Statement(s) ☐ Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
 (if foreign priority is claimed)
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: _____

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Labelor ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

NAME

Rodney K. Worrel

By:

WORREL & WORREL

Date: February 23, 2000

ADDRESS

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Fresno

STATE

California

ZIP CODE

93711-1258

COUNTRY

U.S.A.

TELEPHONE

(559) 431-4391

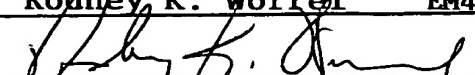
FAX

559.431.4387

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL		Complete if Known		
		Application Number		
		Filing Date		
		First Named Inventor	DONALD D. HOLBROOK	
		Group Art Unit		
		Examiner Name		
TOTAL AMOUNT OF PAYMENT	(\$)	385.00	Attorney Docket Number	W-3875

<p style="text-align: center;">METHOD OF PAYMENT (check one)</p> <p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number: 23-3185</p> <p>Deposit Account Name: WORREL & WORREL</p> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p> <p style="text-align: center;">FEE CALCULATION (fees effective 10/01/96)</p> <p>1. FILING FEE</p> <table style="width: 100%;"> <tr> <th>Large Entity Code</th> <th>Small Entity Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> <tr> <td>101</td> <td>770</td> <td>201</td> <td>385</td> <td>Utility filing fee</td> <td>345.00</td> </tr> <tr> <td>106</td> <td>320</td> <td>206</td> <td>160</td> <td>Design filing fee</td> <td></td> </tr> <tr> <td>107</td> <td>530</td> <td>207</td> <td>265</td> <td>Plant filing fee</td> <td></td> </tr> <tr> <td>108</td> <td>770</td> <td>208</td> <td>385</td> <td>Reissue filing fee</td> <td></td> </tr> <tr> <td>114</td> <td>150</td> <td>214</td> <td>75</td> <td>Provisional filing fee</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;">SUBTOTAL (1)</td> <td>(\$)</td> <td>345.00</td> </tr> </table> <p>2. CLAIMS</p> <table style="width: 100%;"> <tr> <th>Total Claims</th> <th>Extra</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> <tr> <td>15 - 20 =</td> <td>0</td> <td>9</td> <td>0</td> </tr> <tr> <td>Independent Claims 1 - 3 =</td> <td>0</td> <td>39</td> <td>0</td> </tr> <tr> <td>Multiple Dependent Claims</td> <td>0</td> <td>130</td> <td>0</td> </tr> </table> <table style="width: 100%;"> <tr> <th>Large Entity Code</th> <th>Small Entity Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> <tr> <td>103</td> <td>22</td> <td>203</td> <td>11</td> <td>Claims in excess of 20</td> <td></td> </tr> <tr> <td>102</td> <td>80</td> <td>202</td> <td>40</td> <td>Independent claims in excess of 3</td> <td></td> </tr> <tr> <td>104</td> <td>260</td> <td>204</td> <td>130</td> <td>Multiple dependent claim</td> <td></td> </tr> <tr> <td>109</td> <td>80</td> <td>209</td> <td>40</td> <td>Reissue independent claims over original patent</td> <td></td> </tr> <tr> <td>110</td> <td>22</td> <td>210</td> <td>11</td> <td>Reissue claims in excess of 20 and over original patent</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;">SUBTOTAL (2)</td> <td>(\$)</td> <td>0</td> </tr> </table>	Large Entity Code	Small Entity Code	Fee (\$)	Fee Description	Fee Paid	101	770	201	385	Utility filing fee	345.00	106	320	206	160	Design filing fee		107	530	207	265	Plant filing fee		108	770	208	385	Reissue filing fee		114	150	214	75	Provisional filing fee		SUBTOTAL (1)				(\$)	345.00	Total Claims	Extra	Fee from below	Fee Paid	15 - 20 =	0	9	0	Independent Claims 1 - 3 =	0	39	0	Multiple Dependent Claims	0	130	0	Large Entity Code	Small Entity Code	Fee (\$)	Fee Description	Fee Paid	103	22	203	11	Claims in excess of 20		102	80	202	40	Independent claims in excess of 3		104	260	204	130	Multiple dependent claim		109	80	209	40	Reissue independent claims over original patent		110	22	210	11	Reissue claims in excess of 20 and over original patent		SUBTOTAL (2)				(\$)	0	<p style="text-align: center;">FEE CALCULATION (continued)</p> <p>3. 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late filing fee or oath		127	50	227	25	Surcharge - late provisional filing fee or cover sheet		139	130	139	130	Non-English specification		147	2,460	147	2,460	For filing a request for reexamination		112	900	112	900	Requesting publication of SIR prior to Examiner action		113	1,790	113	1,790	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for response within first month		116	390	216	185	Extension for response within second month		117	930	217	465	Extension for response within third month		118	1,470	218	735	Extension for response within fourth month		119	300	219	150	Notice of Appeal		120	300	220	150	Filing a brief in support of an appeal		121	260	221	130	Request for oral hearing		138	1,470	138	1,470	Petition to institute a public use proceeding		140	110	240	55	Petition to revive unavoidably abandoned application		141	1,290	241	645	Petition to revive unintentionally abandoned application		142	1,290	242	645	Utility issue fee (or reissue)		143	440	243	220	Design issue fee		144	650	244	325	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional applications		126	230	126	230	Submission of Information Disclosure Stmt		581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00	146	770	246	385	Filing a submission after final rejection (37 CFR 1.129(a))		149	770	249	385	For each additional invention to be examined (37 CFR 1.129(b))		Other fee (specify) _____					Other fee (specify) _____					SUBTOTAL (3)				(\$)	40.00
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SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Rodney K. Worrel	Express Mail Label No.	Reg. Number
		EM440646782US	27,475
Signature		Date	2/23/00
		Deposit Account User ID	23-3185

Attorney's Docket No. W-3875**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**In re application of: **DONALD D. HOLBROOK**

Serial No.:

Group No.:

Filed:

Examiner:

For: **METHOD FOR TREATING
A WORK MATERIAL**Commissioner of Patents and Trademarks
Washington, D.C. 20231**EXPRESS MAIL CERTIFICATE**"Express Mail" label number EM440646782USDate of Deposit February 23, 2000I hereby certify that the following *attached* paper or fee

Utility Application Transmittal Form; Fee Transmittal Form; \$345.00 filing fee; Recordation For Recording Assignment; \$40.00 recording fee; Assignment; Drawing; Utility Patent Application (19 pages) with (15) claims; Combined Declaration; Submission Of Verified Statements; Verified Statement Claiming Small Entity Status--Independent Inventor; Verified Statement Claiming Small Entity Status--Small Business Concern; Form Information Disclosure Statement; and return card.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Rodney K. Worrel

(typed or printed name of person mailing paper or fee)


(Signature of person mailing paper or fee)

NOTE: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g. complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. If the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

NOTE: The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing or the drawings be accompanied by a set of informal drawings on which the label number is placed.

(Express Mail Certificate [8-3])

Attorney's Docket No. W-3875**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**☒ In re application of: **DONALD D. HOLBROOK**

Group No.

Serial No.: 0 /

Examiner:

Filed:

For: **METHOD FOR TREATING** Issued :
A WORK MATERIAL☐ Patent No.:

**NOTE: Insert name(s) of inventor(s) and title also for patent. Where submission is with respect to a maintenance fee payment also insert application serial number and filing date and mark Form Box M. Fee.*

Commissioner of Patents and Trademarks
Washington, D.C. 20231**SUBMISSION OF VERIFIED STATEMENT(S) TO ESTABLISH
SMALL ENTITY STATUS**

The attached statement is being submitted to establish small entity status in this

☒ application☐ patent

by the:

(check all applicable boxes below)

- a. ☒ independent inventor(s) 37 CFR 1.9(c) and 1.27(b)
b. ☐ non-inventor supporting claim by another 37 CFR 1.9(c) and 1.27(b)

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231Date: 2/23/00**Express Mail**Label No. EM440646782US**FACSIMILE**☐ transmitted by facsimile to the Patent and Trademark Office

Signature

Rodney K. Worrel

(type or print name of person certifying)

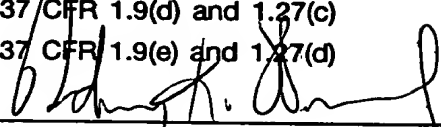
(Submission of Verified Statement(s) to Establish Small Entity Status[7-11])

(Submission of Verified Statement(s) to Establish Small Entity Status[7-11])

- c. ☒ small business concern
d. ☐ non-profit organization

37 CFR 1.9(d) and 1.27(c)

37 CFR 1.9(e) and 1.27(d)


SIGNATURE OF ATTORNEY

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